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U.S. Patent Application Serial No. 10/735,212

For: PARAMETRIC OPTIMIZATION OF OPTICAL METROLOGY

By: Junwei BAO et al.

Our Reference: 50998-20058.00

Attached is the following:

- 1. Transmittal (1 page)
- 2. Information Disclosure Statement (3 pages)
- 3. PTO/SB/08a/b (1 page)

(Index) - Barrier de Barrier de Angele			PTO/SB/21 (08-0: wed for use through 07/31/2006. OMB 0651-009 ark Office: U.S. DEPARTMENT OF COMMERC		
Under the Paperwork Reduction Act of 1995, no per	sons are required to res	Application Number	etion unless it displays a valid OMB control numbe		
TRANSMITT	• •	Filing Date	10/735,212		
TRANSMITT	AL		December 12, 2003		
FORM		First Named Inventor	Junwei BAO		
(to be used for all correspondence afte	f initial filing)	Art Unit	2857		
		Examiner Name	Not Yet Assigned		
Total Number of Pages In This Submis	sion 5	Atterney Docket Numb	^{er} 509982005800		
EN	CLOSURES	(Check all that app	ly)		
Fee Transmittel Form (PTO/SB/17)	Drawing(s)		After Allowance Communication to Group		
Fee Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment/Reply	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Co	pplication	Proprietary Information		
Affidavits/declaration(s)	Power of Attac Change of Co	mey, Revocation mespondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please identify below):		
Express Abandonment Request	Request for Refund		PTO/SB/08a/b (1 page) Facsimile Cover Sheet (not)		
x Information Disclosure Statement (3 pages)	CD, Number	of CD(s)	counted as part of this submission)		
Certified Copy of Priority Document(s)					
Response to Missing Parts/ Incomplete Application	Remarks		•		
Response to Missing Parts under 37 CFR 1.52 or 1.53					
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Firm MORRISON & FOEI or Individual name Peter J. Yim - 44,41		stomer No. 20872)			
Signature 2					
Date May 15, 2006			-		
I hereby centry that this correspondence is b (571) 273-8300, on the date shown below.	cing fassimile transm	itted to the U.S. Patent and	Trademark Office to fecsimile number		
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Patent and Trademark Office, fac below.	ndence is being facsimile transmitted to the simile no. (571) 273-6900, on the date shown
Dated; May 15, 2008	Signature: (Valerie Cohen)

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> MAY 1 5 2006 Patent Docket No. 509982005800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Junwei BAO et al.

Serial No.: 10/735,212

Filing Date: December 12, 2003

For: PARAMETRIC OPTIMIZATION OF OPTICAL METROLOGY MODEL

Examiner: Not Yet Assigned

Group Art Unit: 2857

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record. Copies of the referenced documents, in compliance with the requirements of 1287 OG 163, are not included.

This Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.
Before the mailing of a first Office Action after the filing of a Request for Continued
Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97
(e)(1) has been provided.

Application No.: 10/735,212

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Docket No.: 509982005800

\boxtimes	With	un three months of the application filing date or before mailing of a first Office
	Actio	on on the merits; accordingly, no fee or separate requirements are required.
	How	ever, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
	After	receipt of a first Office Action on the merits but before mailing of a final Office Action
	or No	otice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to
		this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is
		believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
•		form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other

sf-2127834

Application No.: 10/735,212

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Docket No.: 509982005800

fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing <u>509982005800</u>.

Dated: May 15, 2006

Respectfully submitted,

Peter J. Yim

Registration No.: 44,417 MORRISON & FOERSTER LLP

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San Francisco, California 94105-2482

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ALTERNATIVE TO PTO/SB/08a/b (07-08)

Substitute for form 1449/PTO		Complete If Known			
300	Substitute for form 1449/PTO			Application Number	10/735,212
l in	NFORMATIO	N DI	SCLOSURE	Filing Date	December 12, 2003
	STATEMENT BY APPLICANT			First Named Inventor	Junwei BAO
~				tinU hA	2857
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	509982005800

	U.S. PATENT DOCUMENTS						
Examiner initals*	Cite No.	Document Number Number-Kind Code [®] (Fisnown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-6,609,086-B1	08-19-2003	Bao et al.			
	2	US-6,785,638-B2	08-31-2004	Niu et al.			
	3	US-6,943,900-B2	09-13-2005	Niu et al.	· • • • • • • • • • • • • • • • • • • •		

	FOREIGN PATENT DOCUMENTS					
Examiner initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevent Pessages or Relevant Pigures Appear	۳۶
			-		1	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when apprepriate), title of the item (book, magazine, journal, serial, aympoeium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

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